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5 **Ellipsoidal topographic potential – new solutions for spectral forward** 6 **gravity modelling of topography with respect to a reference ellipsoid**

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8 **S.J. Claessens**

9 Western Australian Centre for Geodesy & The Institute for Geoscience Research,

10 Curtin University, GPO Box U1987, Perth, WA 6845, Australia

11 Email: s.claessens@curtin.edu.au

12
13 **C. Hirt**

14 Western Australian Centre for Geodesy & The Institute for Geoscience Research,

15 Curtin University, GPO Box U1987, Perth, WA 6845, Australia

16 Email: c.hirt@curtin.edu.au

17 18 **Abstract**

19 Forward gravity modelling in the spectral domain traditionally relies on spherical approximation.
20 However, this level of approximation is insufficient for some present-day high accuracy
21 applications. Here we present two solutions that avoid the traditional spherical approximation in
22 spectral forward gravity modelling. The first solution (the extended integration method) applies
23 integration over masses from a reference sphere to the topography, and applies a correction for the
24 masses between ellipsoid and sphere. The second solution (the harmonic combination method)
25 computes topographic potential coefficients from a combination of surface spherical harmonic
26 coefficients of topographic heights above the ellipsoid, based on a relation among spherical
27 harmonic functions introduced by Claessens (2005, *J. Geod.* 79, 398-406). Using a degree-2160
28 spherical harmonic model of the topographic masses, both methods are applied to derive Earth's
29 ellipsoidal topographic potential in spherical harmonics. The harmonic combination method
30 converges fastest, and – akin to the EGM2008 geopotential model – generates additional spherical
31 harmonic coefficients in spectral band 2161 to 2190 which are found crucial for accurate evaluation
32 of the ellipsoidal topographic potential at high degrees. Therefore, we recommend use of the
33 harmonic combination method to model ellipticity in spectral-domain forward modelling. The
34 method yields ellipsoidal topographic potential coefficients which are ‘compatible’ with global
35 Earth geopotential models constructed in ellipsoidal approximation, such as EGM2008. It shows

36 that the spherical approximation significantly underestimates degree correlation coefficients among
37 geopotential and topographic potential. The topographic potential model is, for example, of
38 immediate value for the calculation of Bouguer gravity anomalies in fully ellipsoidal
39 approximation.

40

41 **1 Introduction**

42

43 Modelling of the gravitational potential generated by the topography of the Earth and other celestial
44 objects has long been an active field of research. Knowledge of the topographic potential is useful
45 mainly because the short-wavelength signal of observed gravity-related quantities is strongly
46 dominated by the contribution from the topography. It can therefore be used to predict a detailed
47 gravity field where no or only few observations are available. This is important for the construction
48 of high-resolution Earth gravity models [e.g., *Pavlis and Rapp* 1990, *Pavlis et al.* 2012], modelling
49 of the gravity field of celestial objects such as the Moon, Mars and Venus [e.g., *Wieczorek* 2007,
50 *Hirt et al.* 2012a], and the creation of synthetic Earth gravity models [e.g., *Haagmans* 2000,
51 *Claessens* 2003, *Bagherbandi and Sjöberg* 2012].

52

53 A second major range of applications uses the differences between a model of the topographic
54 potential and the contribution of topography from observed gravity-related quantities. Most
55 importantly, this gives insight into mass irregularities within the planet's interior [e.g., *Völgyesi and*
56 *Toth* 1992, *Wieczorek and Phillips* 1998]. The resulting signal is much smoother than the actual
57 gravity field, which also facilitates data prediction and downward continuation of satellite
58 observations [e.g. *Heck and Wild* 2005, *Grombein et al.* 2013]. A related subject is that of terrain
59 corrections in geoid determination according to Stokes's theory, which requires the removal of all
60 masses outside the geoid [e.g., *Sjöberg* 1998, *Sun* 2002].

61

62 Generation of a topographic potential model requires forward modelling of mass contributions
63 through Newton's integral, either in the space domain or in the spectral domain. Topography can be
64 either uncompensated [e.g., *Hirt et al.* 2012b], or an isostatic compensation can be assumed [e.g.,
65 *Rummel et al.* 1988, *Grafarend and Engels* 1993]. See *Tsouli* [2001] and *Göttl and Rummel* [2009]
66 for a further discussion on isostatic compensation mechanisms and the topographic-isostatic
67 potential.

68

69 Many different methods for forward modelling in the space domain have been developed; an
70 overview of and comparisons between the different methods are provided in *Heck and Seitz* [2007]

71 and *Tenzer et al.* [2010]. Comparisons of forward modelling in the space and spectral domain are
72 provided by *Kuhn and Seitz* [2005], *Wild-Pfeiffer and Heck* [2007] and *Balmino et al.* [2012].

73

74 Forward modelling in the spectral domain is computationally more efficient and has been widely
75 used for several decades. The resolution of topographic potential models have increased from
76 spherical harmonic degree and order 180 in the 1980s [*Rapp* 1982, *Rummel et al.* 1988] to 10,800
77 recently [*Balmino et al.* 2012]. The increase in resolution demands more precise modelling
78 methodologies.

79

80 A common technique employed in spectral forward modelling is the use of a series expansion of
81 powers of the topographic height and surface spherical harmonic coefficients (SHCs) of these
82 powers of topographic height to generate solid SHCs of the topographic potential. Early
83 contributions have used a linear approximation [e.g., *Lambeck* 1979, *Rapp* 1982]. *Rummel et al.*
84 [1988] extended this to third-order powers and *Balmino et al.* [1994] generalised it to higher-order
85 powers. Convergence of the series expansion was studied by *Sun and Sjöberg* [2001], *Novák* [2010]
86 and *Hirt and Kuhn* [2012].

87

88 One subject that has received little attention thus far is the evaluation of errors introduced by the
89 spherical approximation that is used almost universally. In spectral forward modelling, a mass-
90 sphere is used as a reference, and the planet's topography is assumed to reside on this spherical
91 surface. It is well-known that the Earth is to a much higher degree of accuracy modelled by an
92 oblate ellipsoid of revolution. This is commonly accounted for in the creation of global gravity
93 models [e.g., *Pavlis et al.* 2012], but not in spectral forward modelling, which makes topographic
94 potential models incompatible with global gravity models. The topographic potential generated
95 taking into account the planet's ellipticity is herein called the ellipsoidal topographic potential
96 (ETP).

97

98 *Sjöberg* [2004] derives ellipsoidal corrections to topographic effects in geoid modelling, but this
99 work does not provide a methodology for generating the ETP. Furthermore, the corrections derived
100 were limited to the order of the squared first numerical eccentricity of the ellipsoid e^2 , which is
101 insufficient for high degree and order SHCs. To our knowledge, spectral forward modelling of the
102 ETP has been studied only by *Novák and Grafarend* [2005], *Balmino et al.* [2012] and *Wang and*
103 *Yang* [2013].

104

105 *Novák and Grafarend* [2005] model the ETP and its vertical gradient by a series of base functions
106 that are orthonormal on the ellipsoid [*Grafarend and Engels* 1992], using geodetic coordinates.
107 These base functions are different from the spherical harmonic functions used in global gravity
108 models, so the resulting expansion of the ETP is not directly compatible with global gravity models.
109 The approach has also not been applied globally, and the convergence of the series expansions has
110 not been studied. *Balmino et al.* [2012] provide a method to compute the ETP using surface
111 spherical harmonic expansions, but they use the spherical approximation for their numerical
112 computations (up to d/o 10,800). They did compute ellipsoidal corrections, but only for long
113 wavelengths (up to d/o 120). *Wang and Yang* [2013] use two methods to compute the ETP: a
114 spherical harmonic solution that requires a global integration for every degree n , and a solution
115 using ellipsoidal harmonics which is implemented up to degree and order 180 only.

116

117 In this paper, two methods that avoid the classical spherical approximation in spectral domain
118 forward-modelling are introduced. Both methods use surface spherical harmonic expansions with
119 respect to a reference ellipsoid. Use of only spherical harmonics has several advantages over
120 ellipsoidal harmonics: it is simple, does not require the use of ellipsoidal coordinates, and the
121 resulting expansion of the ETP is directly compatible with global gravity models. It also avoids
122 numerical issues in the computation of ellipsoidal harmonic functions [e.g., *Sona* 1995], although
123 much improvement in this field has been made recently [e.g., *Sebera et al.* 2012, *Fukushima* 2013].

124

125 The first of our two methods is similar to one suggested by *Balmino et al.* [2012]; it is also similar
126 to the spherical harmonic solution by *Wang and Yang* [2013], but it uses binomial expansions
127 instead of ‘brute-force’ computations that include a global integration for every degree n . The
128 second method is a new, different method which will prove to have significant advantages.

129

130 The two methods are derived in section 2. In section 3 they are compared to the spherical
131 approximation and to one another, both theoretically and numerically, and the resulting power
132 spectrum of the ETP is compared to that of the EGM2008 global gravity model [*Pavlis et al.* 2012].
133 Some examples of applications are provided in section 4, and the final section contains a discussion
134 of the results.

135

136 **2 Methods**

137

138 **2.1 Topographic potential**

139 The spherical harmonic expansion of the gravitational potential of a body is [e.g., *Rummel et al.*
140 1988]

$$V(P) = \frac{GM}{R} \sum_{n,m} \left(\frac{R}{r_p}\right)^{n+1} \bar{V}_{nm}^R \bar{Y}_{nm}(P) \quad (1)$$

141 where $V(P)$ is the gravitational potential in point P , G is the universal gravitational constant, M is
142 the mass of the body, R is a reference sphere radius, r_p is the distance between point P and the
143 coordinate system origin, n, m are the spherical harmonic degree and order, \bar{Y}_{nm} are fully
144 normalised (4π -normalised) spherical harmonic functions, and the SHCs \bar{V}_{nm}^R are [*Rummel et al.*
145 1988]

$$\bar{V}_{nm}^R = \frac{1}{M(2n+1)} \int_{\Sigma} \left(\frac{r_Q}{R}\right)^n \rho_{\Sigma}(Q) \bar{Y}_{nm}(Q) d\Sigma_Q \quad (2)$$

146 where the integration is over the whole body (domain Σ) and $\rho_{\Sigma}(Q)$ is the density of the body in
147 evaluation point Q . In spherical coordinates, Eq. (2) reads

$$\bar{V}_{nm}^R = \frac{1}{M(2n+1)} \int_{\theta=0}^{\pi} \int_{\lambda=0}^{2\pi} \int_{r=0}^{r_{\Sigma}(\theta,\lambda)} \left(\frac{r_Q}{R}\right)^n \rho_{\Sigma}(Q) \bar{Y}_{nm}(Q) r_Q^2 \sin \theta d\theta d\lambda dr \quad (3)$$

148 where θ is the spherical polar co-latitude, λ is the longitude, r is the distance from the origin and
149 $r_{\Sigma}(\theta, \lambda)$ is the distance between the origin and the body surface.

150
151 The topographic potential is commonly defined as the potential generated by topographic masses,
152 either with respect to the geoid [e.g., *Sjöberg* 1998] or the reference ellipsoid [e.g., *Novák and*
153 *Grafarend* 2005, *Vajda et al.* 2007]. A further alternative, less common in geodesy, is to define the
154 topography with respect to a spherical surface, using topographic heights above a sphere [e.g.,
155 *Wieczorek and Phillips* 1998]. *Balmino et al.* [2012] discuss the differences between these
156 definitions. Here, we use a definition with respect to the ellipsoid.

157
158 We define the topographic potential as the difference between potentials generated by a) a body
159 with irregular topography and density distribution $\rho_{\Sigma}(Q)$ (Eq. 3) and b) a reference ellipsoid with
160 density distribution $\rho_e(Q)$, where $\rho_e(Q) = \rho_{\Sigma}(Q)$ for all points Q that fall inside both the body (Σ)
161 and the ellipsoid. As a result, it contains the combined effect of topographic masses above the
162 ellipsoid (where terrain height is positive) and the lack of topographic mass under the ellipsoid
163 (where terrain height is negative).

164
165 The SHCs of the topographic potential are then

$$\bar{V}_{nm}^R = \frac{R^2}{M(2n+1)} \int_{\theta=0}^{\pi} \int_{\lambda=0}^{2\pi} V^T(\theta, \lambda) \bar{Y}_{nm}(\theta, \lambda) \sin \theta \, d\theta d\lambda \quad (4)$$

166 where

$$V^T(\theta, \lambda) = \begin{cases} \int_{r=r_e}^{r_\Sigma} \left(\frac{r_Q}{R}\right)^{n+2} \rho_\Sigma(Q) \, dr & \text{for } r_\Sigma > r_e \\ - \int_{r=r_\Sigma}^{r_e} \left(\frac{r_Q}{R}\right)^{n+2} \rho_e(Q) \, dr & \text{for } r_\Sigma < r_e \end{cases} \quad (5)$$

167 and r_e is the distance from the origin to an ellipsoidal reference surface (the ellipsoidal radius). Note
 168 that the square of the reference radius has been moved outside the integrals in Eq. (4) for
 169 mathematical convenience. To allow analytical integration over r in Eq. (5), the density is usually
 170 assumed radially invariant. An alternative that assumes a variable density function as a power series
 171 of the radial distance is provided in *Ramillien* [2002]. In the case of radial invariance, the integral in
 172 Eq. (5) is simple, and identical for both cases

$$V^T(\theta, \lambda) = \frac{R\rho(\theta, \lambda)}{n+3} \left[\left(\frac{r_\Sigma}{R}\right)^{n+3} - \left(\frac{r_e}{R}\right)^{n+3} \right] \quad (6)$$

173 where $\rho(\theta, \lambda) = \rho_\Sigma$ for $r_\Sigma > r_e$ and $\rho(\theta, \lambda) = \rho_e$ for $r_\Sigma < r_e$.

174

175 Where information about radial variations in density within the topography is available, the
 176 topography can be replaced by a layer of constant density and the same mass as the original layer:
 177 the equivalent rock topography/rock-equivalent topography (ERT/RET) [e.g., *Balmino et al.* 1973,
 178 *Tsouliis* 1999, *Hirt et al.* 2012b]. The height of this layer, the rock-equivalent height, can be
 179 computed in planar approximation [e.g., *Balmino et al.* 1973, *Rummel et al.* 1988, *Hirt et al.* 2012b]
 180 or in spherical approximation [e.g., *Claessens* 2003, *Mladek* 2006]. It is customary to replace ocean
 181 water, fresh lake water and ice by equivalent rock layers, resulting in negative RET heights over all
 182 of Earth's oceans [e.g., *Hirt et al.* 2012b].

183

184 Lateral variations in density can be accommodated by using surface density functions [*Kuhn and*
 185 *Featherstone* 2003], by using different surface harmonic analyses over various domains [*Balmino et*
 186 *al.* 2012], or by including the density function in the global integration within the spherical
 187 harmonic analyses [*Eshagh* 2009, *Tenzer et al.* 2012]. In the remainder of this paper, we assume
 188 constant density of rock-equivalent topography ($\rho(\theta, \lambda) = \rho$) for the sake of simplicity, but our
 189 results can be extended to accommodate laterally variant density using one of the above-mentioned
 190 methods. An isostatic compensation mechanism can also be applied to generate the so-called
 191 topographic-isostatic potential [e.g. *Rapp* 1982, *Sünkel* 1986, *Rummel et al.* 1988]. Here, we only

192 consider the uncompensated topographic potential, but our results can easily be extended to also
 193 include an isostatic compensation part.

194

195 **2.2 Ellipsoidal topographic potential**

196 In practical applications of spectral forward modelling of the topographic potential, a spherical
 197 approximation is commonly applied to simplify Eq. (6). The approximations made are

$$r_e = R \quad (7)$$

198 and

$$r_\Sigma = R + H \quad (8)$$

199 where H is the orthometric height of the topography. However, this spherical approximation is no
 200 longer sufficient, especially for spectral analysis of high-degree and -order SHCs.

201

202 Instead of the spherical approximations in Eqs. (7) and (8), we use Eq. (6) in unaltered form. The
 203 spherical harmonic synthesis in Eq. (4), with Eq. (6), could be performed numerically [*Wang and*
 204 *Yang 2013*], but this is computationally inefficient because V^T is dependent on spherical harmonic
 205 degree n . To make the computations more efficient, a binomial expansion can be applied to the
 206 terms in Eq. (6) that are dependent on n . This is commonly done in spherical approximation [e.g.,
 207 *Rummel et al. 1988, Wieczorek and Phillips 1998*], and can also be applied in the current ellipsoidal
 208 approximation. In spherical approximation, the second term between the square brackets in Eq. (6)
 209 cancels, but it needs to be taken into account in ellipsoidal approximation. Below, we derive two
 210 different methods to do this.

211

212 **2.3 Method 1: Extended integration (EI) method**

213 The first term within the square brackets in Eq. (6) can be expanded into a binomial series [cf.
 214 *Claessens 2006*]

$$\left(\frac{r_\Sigma}{R}\right)^{n+3} = \sum_{k=0}^{n+3} \binom{n+3}{k} \left(\frac{l_\Sigma}{R}\right)^k = 1 + \sum_{k=1}^{n+3} \frac{1}{k!} \prod_{j=1}^k (n+4-j) \left(\frac{l_\Sigma}{r_e}\right)^k \quad (9)$$

215 where

$$l_\Sigma = r_\Sigma - R \quad (10)$$

216 Note that the reference radius of the spherical harmonic expansion R is commonly set equal to the
 217 semi-major axis of the geodetic reference ellipsoid. Given the Earth's flattening, l_Σ reaches values
 218 with an absolute magnitude in excess of 20 km near the poles on Earth.

219 A similar binomial series can be applied to the second term within the square brackets in Eq. (6)

$$\left(\frac{r_e}{R}\right)^{n+3} = \sum_{k=0}^{n+3} \binom{n+3}{k} \left(\frac{l_e}{R}\right)^k \quad (11)$$

220 where

$$l_e = r_e - R \quad (12)$$

221 Inserting Eqs. (9) and (11) into Eq. (6) gives

$$V^T(Q) = \frac{R\rho}{n+3} \sum_{k=1}^{n+3} \binom{n+3}{k} \left[\left(\frac{l_\Sigma}{R}\right)^k - \left(\frac{l_e}{R}\right)^k \right] \quad (13)$$

222 The summation runs from $k = 1$, because the term with $k = 0$ vanishes. Inserting Eq. (13) into Eq.
223 (4) and rearranging the order of summation and integration gives

$$\begin{aligned} \bar{V}_{nm}^R = \frac{\rho R^3}{M(2n+1)(n+3)} \sum_{k=0}^{n+3} \binom{n+3}{k} & \left[\int_{\sigma} \left(\frac{l_\Sigma}{R}\right)^k \bar{Y}_{nm}(Q) d\sigma \right. \\ & \left. - \int_{\sigma} \left(\frac{l_e}{R}\right)^k \bar{Y}_{nm}(Q) d\sigma \right] \end{aligned} \quad (14)$$

224 The two integrations over the unit sphere can be combined into one, but we separate them here, as it
225 provides a useful interpretation of the process when compared to the spherical approximation.
226 Equation (14) can be simplified to

$$\bar{V}_{nm}^R = \frac{4\pi\rho R^3}{M(2n+1)(n+3)} \left[\sum_{k=1}^{n+3} \binom{n+3}{k} (\bar{l}_{nm}^{(k)} - \bar{l}e_{nm}^{(k)}) \right] \quad (15)$$

227 where we have introduced the following fully normalised surface spherical harmonic series

$$\left(\frac{l_\Sigma}{R}\right)^k = \sum_{n,m} \bar{l}_{nm}^{(k)} \bar{Y}_{nm} \quad (16)$$

228 with

$$\bar{l}_{nm}^{(k)} = \frac{1}{4\pi} \int_{\sigma} \left(\frac{l_\Sigma}{R}\right)^k \bar{Y}_{nm} d\sigma \quad (17)$$

229 and

$$\left(\frac{l_e}{R}\right)^k = \sum_{n,m} \bar{l}e_{nm}^{(k)} \bar{Y}_{nm} \quad (18)$$

230 with

$$\bar{l}e_{nm}^{(k)} = \frac{1}{4\pi} \int_{\sigma} \left(\frac{l_e}{R}\right)^k \bar{Y}_{nm} d\sigma \quad (19)$$

231 This shows that it is possible to model the topographic potential with respect to the ellipsoid using
232 only spherical harmonics.

233

234 Comparing Eq. (15) to the solutions in spherical approximation of *Rummel et al.* [1988] and
 235 *Wieczorek and Phillips* [1998], it is obvious that this method essentially computes the contribution
 236 from the sphere to the topography (taken with respect to the ellipsoid, resulting in integration over a
 237 generally extended range) and then subtracts the contribution from the mass between the sphere and
 238 the ellipsoid. *Balmino et al.* [2012] have derived a solution similar to this, but appear not to have
 239 implemented it.

240
 241 Because the series in Eq. (15) converges, not all $n + 3$ terms need to be taken into account but the
 242 series can be truncated after sufficient precision has been obtained. If applied to Earth, series
 243 convergence is slower than in spherical approximation, because l_Σ and l_e reach significantly larger
 244 magnitudes than the rock-equivalent heights. The rate of convergence is shown in section 3.2.

245

246 **2.4 Method 2: Harmonic combination (HC) method**

247 A second, new method avoids the use of l_Σ and l_e , which are large over much of the Earth's surface.
 248 It is based on a different binomial expansion of the second term in Eq. (6), taking into account that
 249 the ellipsoidal surface is easily described mathematically as a function of latitude [e.g., *Claessens*
 250 2006]. It also relies on a relation among spherical harmonic functions derived by *Claessens* [2005].

251

252 First, Eq. (6) is rewritten as follows

$$V^T(Q) = \frac{R\rho}{n+3} \left(\frac{r_e}{R}\right)^{n+3} \left[\left(\frac{r_\Sigma}{r_e}\right)^{n+3} - 1 \right] \quad (20)$$

253 We now apply a binomial series expansion to the term between square brackets

$$\left(\frac{r_\Sigma}{r_e}\right)^{n+3} - 1 = \sum_{k=1}^{n+3} \binom{n+3}{k} \left(\frac{d_\Sigma}{r_e}\right)^k = \sum_{k=1}^{n+3} \frac{1}{k!} \prod_{j=1}^k (n+4-j) \left(\frac{d_\Sigma}{r_e}\right)^k \quad (21)$$

254 where

$$d_\Sigma = r_\Sigma - r_e \quad (22)$$

255 The distance d_Σ closely approximates the ellipsoidal height of the rock-equivalent topography, but
 256 is measured along the direction to the ellipsoid's origin. Inserting Eqs. (20) and (21) into Eq. (4)
 257 gives, after changing the order of integration and summation

$$\bar{V}_{nm}^R = \frac{R^2}{M(2n+1)} \frac{R\rho}{n+3} \sum_{k=1}^{n+3} \binom{n+3}{k} \int_{\sigma} \left(\frac{r_e}{R}\right)^{n+3} \left(\frac{d_\Sigma}{r_e}\right)^k \bar{Y}_{nm}(Q) d\sigma \quad (23)$$

258 We now apply a second binomial series to the first term within the integral [cf. *Claessens* 2006]

$$\begin{aligned} \left(\frac{r_e}{R}\right)^{n+3} &= \left(\frac{b}{R}\right)^{n+3} (1 - e^2 \sin^2 \theta)^{-\frac{n+3}{2}} \\ &= \left(\frac{b}{R}\right)^{n+3} \sum_{j=0}^{\infty} (-1)^j \binom{-\frac{n+3}{2}}{j} e^{2j} \sin^{2j} \theta \end{aligned} \quad (24)$$

259 As is common in geodesy, we have here assumed that the reference ellipsoid is an oblate ellipsoid
 260 of revolution defined by its semi-major axis a and semi-minor axis b or squared first numerical
 261 eccentricity e^2 . Note the difference with the binomials series used in method 1 (Eq. 11). The series
 262 in Eq. (24) is infinite, but *Claessens* [2006] has shown that it always converges. Convergence is
 263 most rapid for low degrees n . We also apply the following relation among spherical harmonic
 264 functions of equal order m [*Claessens* 2005, Eq. 27]

$$\sin^{2j} \theta \bar{Y}_{nm} = \sum_{i=-j}^j \bar{K}_{nm}^{2i,2j} \bar{Y}_{n+2i,m} \quad (25)$$

265 where $\bar{K}_{nm}^{2i,2j}$ are fully normalised sinusoidal Legendre weight functions [*Claessens* 2005, 2006],
 266 which can be computed through the recursion relations in Appendix A. Inserting Eqs. (24) and (25)
 267 into Eq. (23) gives

$$\begin{aligned} \bar{V}_{nm}^R &= \frac{R^2}{M(2n+1)} \frac{R\rho}{n+3} \left(\frac{b}{R}\right)^{n+3} \\ &\times \sum_{k=1}^{n+3} \binom{n+3}{k} \sum_{j=0}^{\infty} (-1)^j \binom{-\frac{n+3}{2}}{j} e^{2j} \sum_{i=-j}^j \bar{K}_{nm}^{2i,2j} \int_{\sigma} \left(\frac{d_{\Sigma}}{r_e}\right)^k \bar{Y}_{n+2i,m}(Q) d\sigma \end{aligned} \quad (26)$$

268 Introducing the following fully normalised surface spherical harmonic series

$$\left(\frac{d_{\Sigma}}{r_e}\right)^k = \sum_{n,m} \bar{d}_{nm}^{(k)} \bar{Y}_{nm} \quad (27)$$

269 where

$$\bar{d}_{nm}^{(k)} = \frac{1}{4\pi} \int_{\sigma} \left(\frac{d_{\Sigma}}{r_e}\right)^k \bar{Y}_{nm} d\sigma \quad (28)$$

270 gives the final expression for the solid SHCs of the ETP

$$\bar{V}_{nm}^R = \frac{4\pi\rho b^3}{M(2n+1)(n+3)} \left(\frac{b}{R}\right)^n \sum_{k=1}^{n+3} \binom{n+3}{k} \sum_{j=0}^{\infty} (-1)^j \binom{-\frac{n+3}{2}}{j} e^{2j} \sum_{i=-j}^j \bar{K}_{nm}^{2i,2j} \bar{d}_{n+2i,m}^{(k)} \quad (29)$$

271 This method thus relies on a combination of surface SHCs of equal order m . The summations over
 272 k and j can be truncated; the rate of convergence is shown in section 3.3. When a spherical
 273 reference surface is selected, the solutions of both methods (Eqs. 15 and 29) degenerate into the
 274 well-known spherical approximation [e.g., *Rummel et al.* 1988, *Wieczorek and Phillips* 1998]

$$\bar{V}_{nm}^R = \frac{4\pi\rho R^3}{M(2n+1)(n+3)} \sum_{k=1}^{n+3} \binom{n+3}{k} \bar{H}_{nm}^{(k)} \quad (30)$$

275 where

$$\bar{H}_{nm}^{(k)} = \frac{1}{4\pi} \int_{\sigma} \left(\frac{H}{R}\right)^k \bar{Y}_{nm} d\sigma \quad (31)$$

276 *Balmino et al.* [2012] derive an ellipsoidal correction to the spherical approximation which, like our
 277 solution, involves a summation over surface SHCs of equal order m . However, their corrections use
 278 an expansion of the ellipsoidal radius to the first order of the ellipsoid's flattening. This is akin to
 279 truncating Eq. (24) after $j = 1$, which is insufficient for high degree SHCs due to the appearance of
 280 degree n in the binomial coefficient.

281

282 **3 Numerical study**

283

284 **3.1 General remarks**

285 The primary purpose of the numerical study is to (i) analyse the convergence behaviour of the EI-
 286 method and the HC-method (cf. Sect. 2.3 and 2.4) separately, and (ii) compare the methods to gain
 287 insight into similarities and differences. In all tests, we use the RET2012 rock-equivalent
 288 topography model developed at Curtin University. RET2012 is a spherical-harmonic model of
 289 Earth's uncompensated topographic masses complete to degree and order 2160, which corresponds
 290 to 5 arc-min spatial resolution. It describes the masses of (i) Earth's visible topography, (ii) ocean
 291 water, (iii) major ice-sheets of Greenland and Antarctica, and (iv) major inland lakes (of North
 292 America and Asia) using a single constant mass-density of 2670 kg m^{-3} . The compression of water
 293 and ice masses was accomplished as described in *Hirt et al.* [2012b, Sect 3.2] for a degree-360
 294 predecessor of the degree-2160 RET2012 model. Full details on data sets and methods used is in
 295 *Hirt* [2013, Appendix A]. The SHCs of RET2012 are publicly available via
 296 <http://geodesy.curtin.edu.au/research/models/Earth2012/>, file Earth2012.RET2012.SHCto2160.dat.

297

298 Our numerical tests use the geometrical and physical parameters of the GRS80 reference ellipsoid:
 299 semi-major axis $a = 6378137 \text{ m}$, semi-minor axis $b = 6356752.3141 \text{ m}$, and $GM = 3.986005 \times 10^{14}$
 300 $\text{m}^3 \text{ s}^{-2}$ [*Moritz* 2000]. With the CODATA (Committee on Data for Science and Technology)
 301 numerical value for $G = 6.67384 \times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$ [*Mohr et al.* 2012, p 72], it follows for Earth's
 302 mass: $M = 5.9725810 \times 10^{24} \text{ kg}$. For all spherical approximations tested in this study, we use the
 303 GRS80 semi-major axis a as the reference sphere radius R .

304

305 Testing of the two methods described in Sect. 2 requires geocentric radii of the topography r_{Σ}
306 which were obtained from expanding the RET2012 topography to degree and order 2160. The
307 quantities l_{Σ} (Eq. 10), l_e (Eq. 12) and d_{Σ} (Eq. 22) and the topographic height functions
308 (THF) l_{Σ}/R , l_e/R and d_{Σ}/r_e were prepared in terms of 2 arc-min global grids (however, due to the
309 limited resolution of the RET2012 model these grids do not contain information at spatial scales
310 smaller than 5 arc-min). The THFs were then raised to integer powers k (ranging from 1 up to 25)
311 and the resulting $(l_{\Sigma}/R)^k$, $(l_e/R)^k$ and $(d_{\Sigma}/r_e)^k$ harmonically analysed to give sets of SHCs $\bar{l}_{nm}^{(k)}$,
312 $\bar{l}_e^{(k)}$ and $\bar{d}_{nm}^{(k)}$. Note that surface spherical harmonic expansions are not restricted to data being on a
313 sphere [e.g., Jekeli 1988]. All spherical harmonic analyses were carried out to degree and order
314 2699 with the algorithm of *Driscoll and Healy* [1994] as implemented in the SHTools package
315 (<http://shtools.ipgp.fr/>). Note that the resulting expansions lack power in the highest degrees due to
316 the limited resolution of the RET2012 model. However, these expansions were only used to degree
317 2160 (EI-method) and 2220 (HC-method).

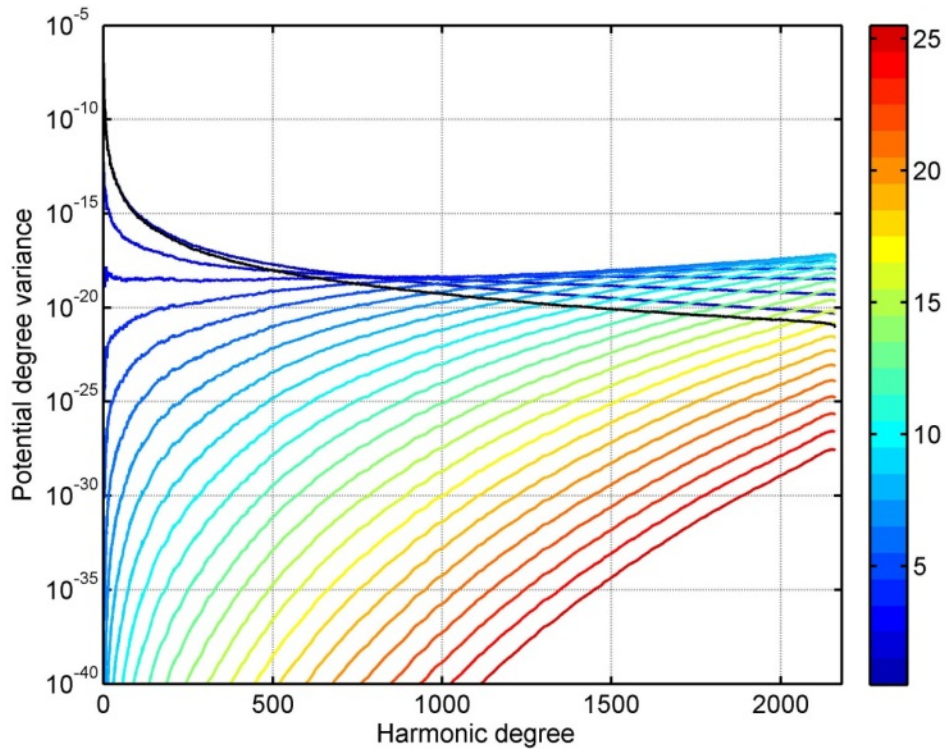
318

319 **3.2 Method 1: Extended integration (EI) method**

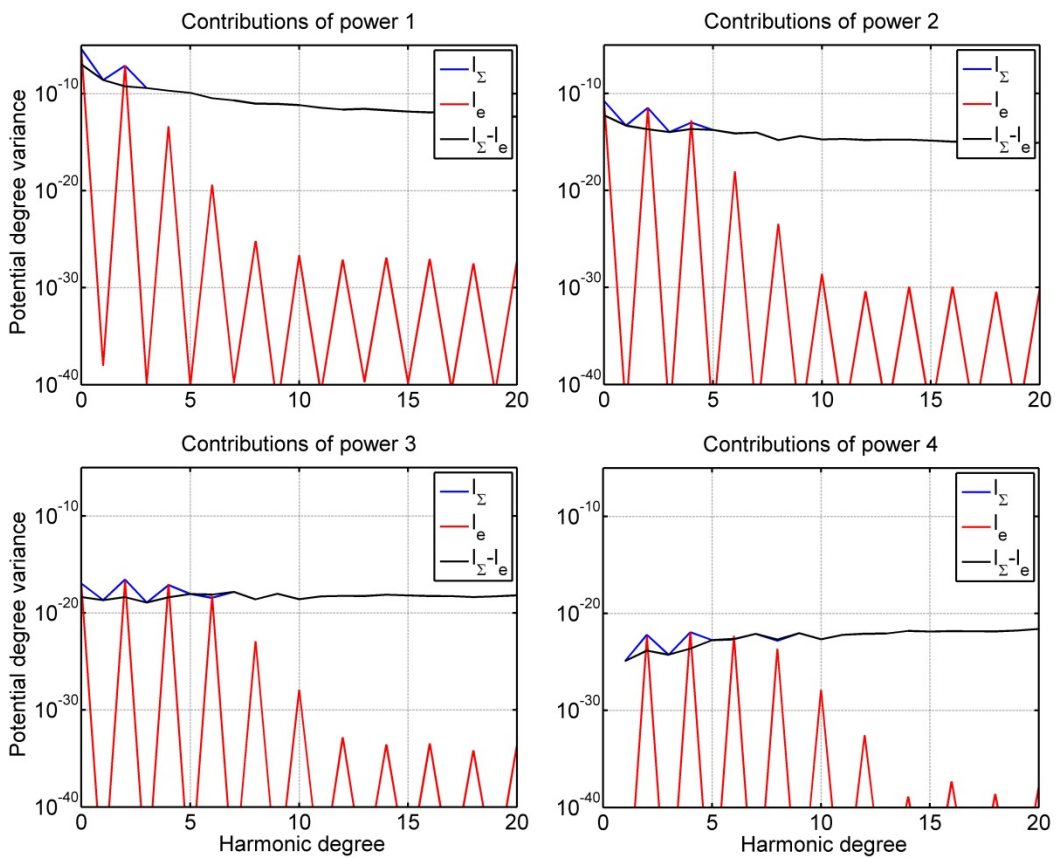
320 We investigated the convergence of the ellipsoidal topographic potential from the EI-method by
321 evaluating Eq. (18) separately for integer powers of the THF from $k = 1$, $k = 2$ to $k = 25$. The
322 (dimensionless) potential degree variances of the resulting contributions $\bar{V}_{nm}^R(k)$ are shown in Fig. 1
323 together with the total (accumulative) \bar{V}_{nm}^R resulting from addition of the first 25 contributions.

324

325 From Fig. 1, integer contributions are required up to $k = 22$ to sufficiently converge at degree and
326 order 2160. This is substantially slower than in the spherical case where convergence is reached
327 with $k = 7$ [cf. *Hirt and Kuhn*, 2012, Fig. 1]. The degree variances of the single contributions
328 exhibit numerous intersections in spectral band of degrees ~ 700 to 2160, showing that much of the
329 high-degree spectral energy is delivered by the higher-order powers. In spectral band ~ 1000 to
330 2160, powers $k = 5$ to 15 of the THFs make larger contribution than the low-integer powers 1 to 4.
331 This behaviour is very different to the spherical case, where in spectral band of 0 to 2160 each
332 integer power of the THF makes a contribution smaller than the previous one [cf. *Hirt and Kuhn*,
333 2012, Fig. 1], with the first intersection observed only around degree ~ 3000 [cf. *Balmino et al.*,
334 2012, Fig. 7].



335
 336 **Figure 1.** EI-method: Potential contributions of the first 25 integer powers of the topography. Blue:
 337 contribution of 1st power, red: contribution of 25th power. Black line: total contribution. Shown are
 338 dimensionless potential degree variances of the differences (l_{Σ} minus l_e).
 339



340
 341 **Figure 2.** EI-method: Potential contributions of the first three integer powers of the topography.
 342 Shown are dimensionless potential degree variances of l_{Σ} , l_e and (l_{Σ} minus l_e).

343 Fig. 1 also shows that over most parts of the spectrum there are always single contributions $\bar{V}_{nm}^R(k)$
344 that have higher spectral energy than the total contribution \bar{V}_{nm}^R , and this effect becomes more
345 pronounced the shorter the spatial scales. At degree 2160, the spectral power of the first 16 integer
346 contributions is larger than that of the total contribution. Hence, addition of successive contributions
347 has some ‘cancellation effect’ on the total contribution. Notwithstanding this observation, with
348 $k = 22$ the EI-method requires a large number of integer power contributions to converge, and this
349 is owing to the fact that the THFs are much larger than in the spherical case.

350

351 For the first four integer powers ($k = 1$ to $k = 4$) we analysed the potential contribution made by
352 the two THFs l_{Σ}/R and l_e/R used as input in the EI-method [Eq. (15)]. As expected, the l_e/R -
353 contributions – those of the masses between the ellipsoid and sphere – are of very long-wavelength
354 character (Fig. 2). Akin to the potential coefficients of a normal gravity field implied by a reference
355 level ellipsoid (e.g., GRS80), the spectral power of l_e/R is restricted to the even low-degree zonal
356 harmonics [e.g., *Moritz*, 2000, p 130], and negligible for harmonic degrees of ~ 12 and larger (Fig.
357 2).

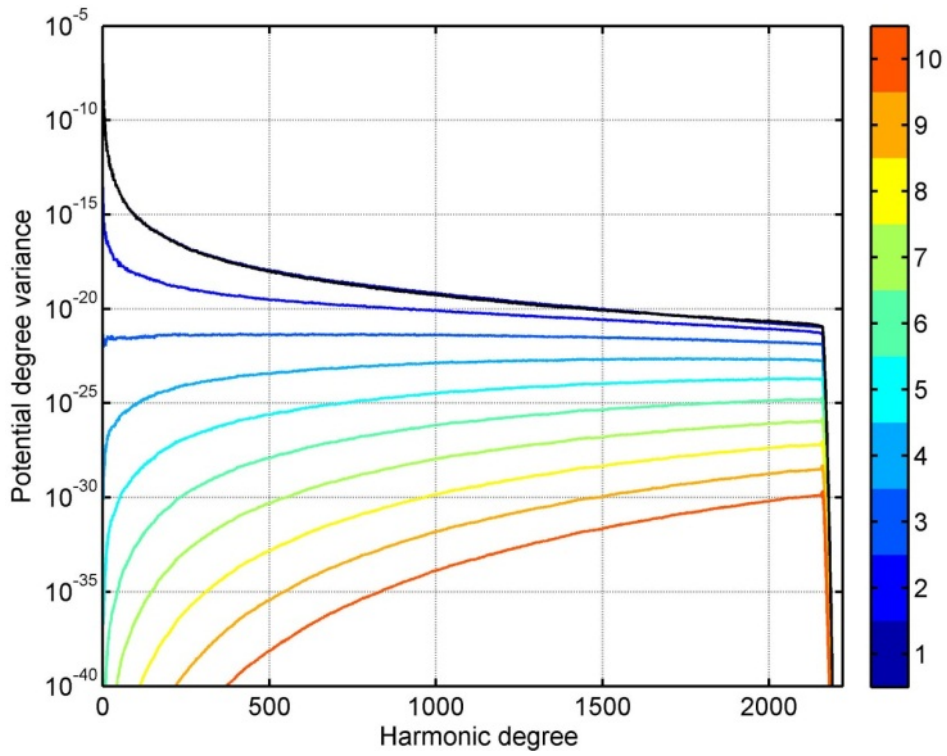
358

359 A detailed inspection of the l_{Σ}/R -, l_e/R -, and (l_{Σ}/R minus l_e/R)-contributions reveals that at even
360 low-degree harmonic degrees the l_{Σ}/R -contribution is always larger than that of the difference
361 (l_{Σ}/R minus l_e/R), hence l_e/R reduces the energy of l_{Σ}/R (note the reduction of ‘spike-like
362 effects in l_{Σ}/R in Fig. 2). Relative to the total contribution shown in Fig. 1, the spectral energy of
363 the l_e/R -contribution is at least 10 orders of magnitudes smaller for $k \geq 4$, so can be safely
364 neglected for all higher integer powers.

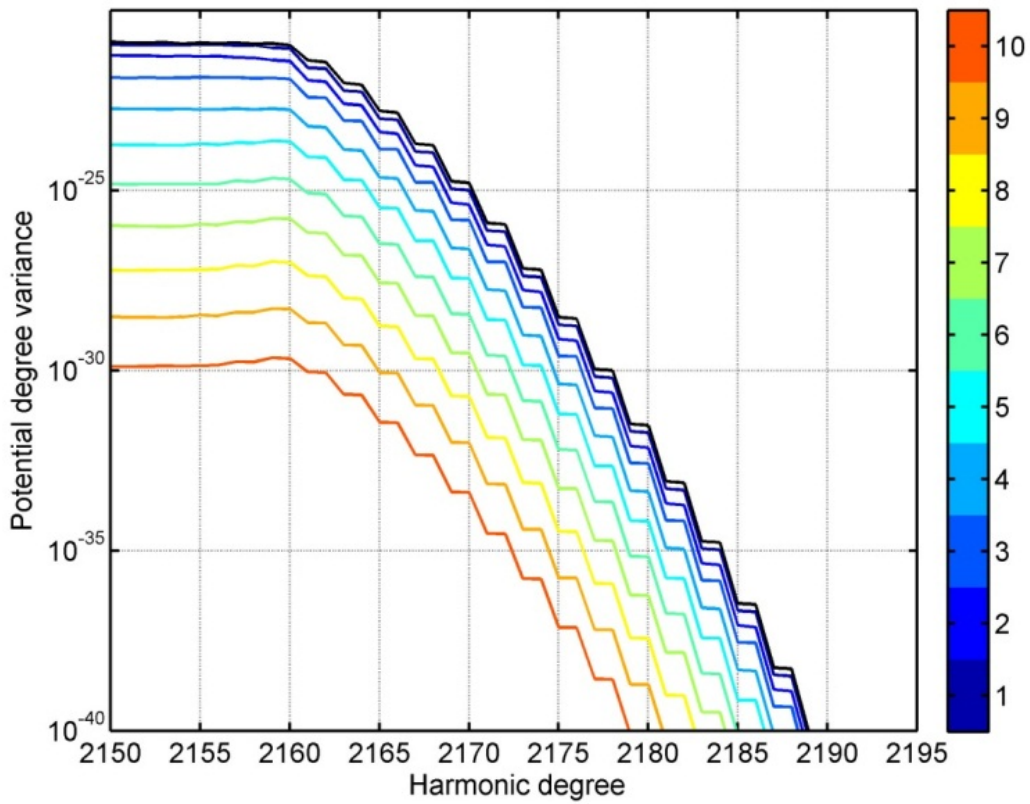
365

366 **3.3 Method 2: Harmonic combination (HC) method**

367 The convergence behaviour of method 2 (HC-method) was investigated by evaluating Eq. (29) for
368 all indices $k = 1$ to $k = 10$ separately. The inner summations (over j) were evaluated to $j_{max} = 30$
369 which ensures convergence of these terms [cf. *Claessens* 2006, p 140, *Claessens and Featherstone*
370 2005, Fig. 2]. Fig. 3 shows the single contributions made by the first 10 integer powers of the THF
371 d_{Σ}/r_e . In contrast to the EI-method, sufficient convergence is already reached for $k = 7$, which is
372 comparable to the topographic potential contributions in spherical approximation [*Hirt and Kuhn*,
373 2012, Fig. 1]. In a relative sense, the behaviour of the contributions shown in Fig. 3 is comparable
374 to the spherical case, and there are no intersections in the spectral band of degrees 0 to 2160. Due to
375 this faster convergence, the HC-method is computationally more efficient than the EI-method.



376
 377 **Figure 3.** HC-method: Potential contributions of the first 10 integer powers of the topography. Blue:
 378 contribution of 1st power, red: contribution of 10th power. Shown are dimensionless potential degree
 379 variances in spectral band of degrees 0 to 2220.



380
 381 **Figure 4.** HC-method: As Fig. 3, but focus on spectral band of degrees 2140 to 2220.
 382

383 The most important observation is made around harmonic degree 2160 where all contributions
384 experience a drop in spectral energy. Fig. 4 provides a detail plot of all contributions in spectral
385 band 2150 to 2195, showing that the terms $\bar{V}_{nm}^{R(k)}$ beyond degree 2160 make some notable
386 contribution to about 2175, while diminishing around degree 2190. This reflects an important
387 attribute of the HC-method. Each coefficient $\bar{V}_{nm}^{R(k)}$ depends on a group of SHCs $\bar{a}_{n+2i,m}^{(k)}$ within a
388 spectral bracket of $2 \times j_{max}$ (60 in the present case) to either side of spherical harmonic degree n ,
389 resulting in additional SHCs of up to degree 2220 in the present case. However, because of the
390 convergence of the summation over j in Eq. (29), the coefficients $\bar{V}_{nm}^{R(k)}$ become negligible beyond
391 harmonic degree ~ 2180 .

392

393 The observed behaviour is a key characteristic of ellipsoidal potential modelling [Claessens, 2006]
394 and also seen in high-degree geopotential models such as EGM2008 [Pavlis *et al.*, 2012] that are
395 based on ellipsoidal approximation. EGM2008 was developed in ellipsoidal harmonics to degree
396 and order 2160 and transformed to spherical harmonics using the transformation described in Jekeli
397 [1988]. In case of EGM2008, Jekeli's transformation gives rise to additional SHCs in the spectral
398 band of degrees 2161 to 2190 as discussed in detail by Holmes and Pavlis [2007]. In direct analogy
399 to EGM2008, consideration of these additional SHCs is crucially important to accurately represent
400 the ETP, as will be demonstrated in Sect. 3.5.

401

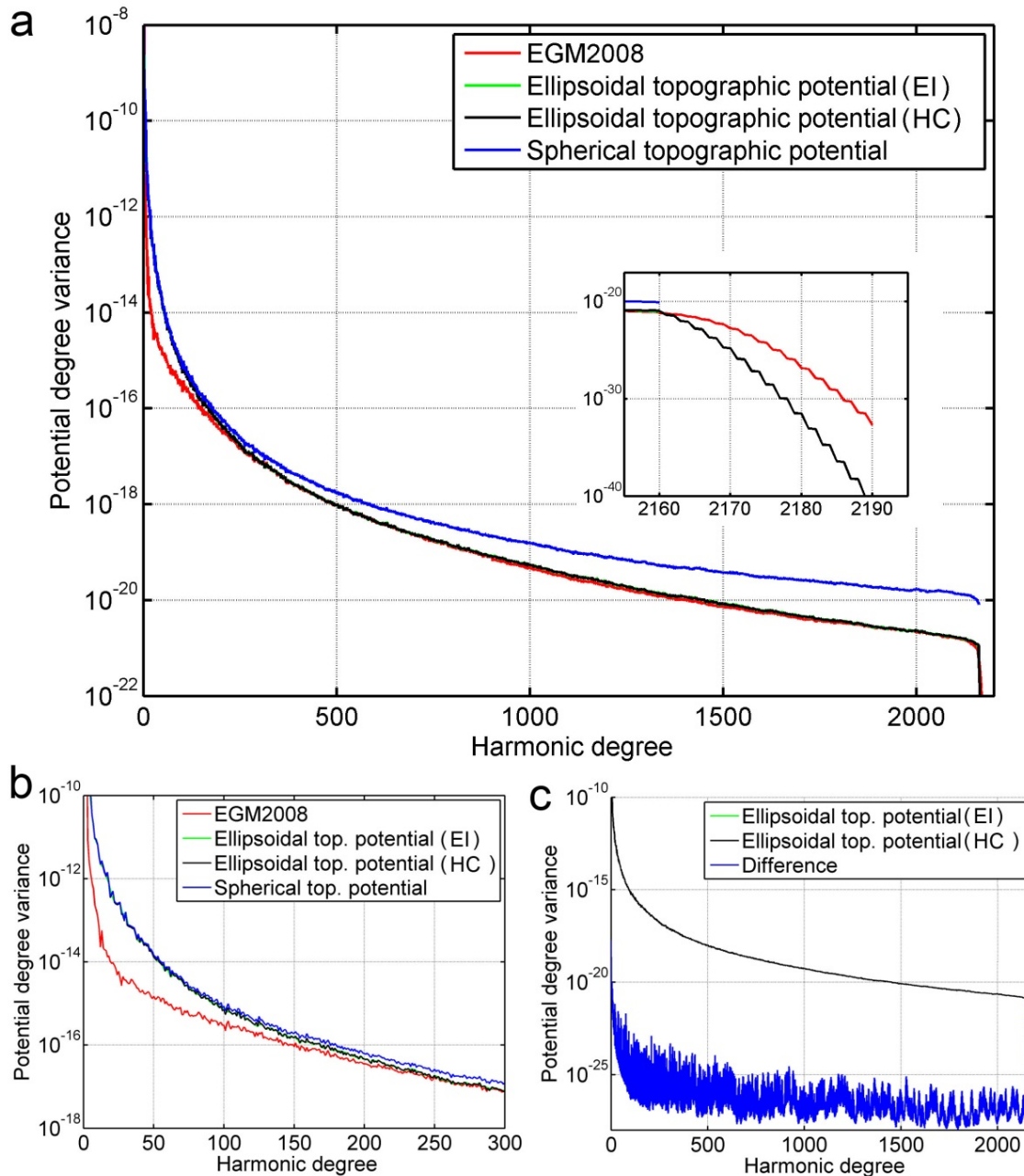
402 3.4 Comparisons in the spectral domain

403 Fig. 5a compares degree variances of the (total contributions from the) EI- and HC-methods with
404 each other, with those from (conventional) topographic potential modelling in spherical
405 approximation [Eq. (30)], and with degree variances from the EGM2008 global gravity model. For
406 reasons of consistency, the latter were computed from the SHCs of EGM2008, not from ellipsoidal
407 harmonic coefficients which are also available.

408

409 The degree variances from the two ellipsoidal methods (EI and HC) are in close agreement over
410 most of the spectrum. The spectrum of the topographic potential in spherical approximation has
411 seemingly more power as the degree increases, with differences of about one order of magnitude at
412 $n = 2160$. These differences are as expected, given different reference surfaces (surface of sphere
413 vs. surface of ellipsoid) were used in the creation of the SHCs in spherical and ellipsoidal
414 approximation. The reference sphere radius in spherical approximation was set equal to the semi-
415 major axis a (the customary value), which places the topography further from the Earth's origin
416 compared to the ellipsoidal solution, resulting in more power at higher degrees.

417



418
 419 **Figure 5.** Comparison among the methods in the spectral domain, (a) potential degree variances
 420 of the topographic potential in spherical and ellipsoidal approximation (methods EI and HC), and
 421 of EGM2008, all in spectral band 0 to 2220 and 2150 to 2200 (close-up), (b) as before, but in
 422 spectral band 0 to 300, (c) ETP degree variances of the EI and HC-methods, and their differences
 423 in spectral band 0 to 2220.

424
 425
 426 Fig. 5b shows that the signals from the two ETP methods are commensurate with EGM2008 from
 427 harmonic degree of ~ 250 and higher, while the topographic potential has significantly higher power
 428 at lower harmonic degrees. This well-known behaviour is caused by isostatic compensation masses
 429 at medium and long wavelengths, which are not modelled by the (uncompensated) RET2012

430 topography and derived potential coefficients, but a constituent of Earth's observed gravity field,
431 see also *Rummel et al.* [1988], *Watts* [2001], *Wieczorek* [2007], *Hirt et al.* [2012a].

432

433 Fig. 5a (inside panel) provides a detail view on the spectra of the four potential models in spectral
434 band 2140 to 2220, exemplifying the similar characteristics of EGM2008 and the ETP from the HC-
435 method (Sect. 3.3). Both models provide additional SHCs beyond degree 2160, which rapidly loose
436 spectral power and reach the level of 10^{-32} (this is 10 orders of magnitude smaller than the signal)
437 near degree 2190 for EGM2008 and near degree 2180 for the ETP.

438

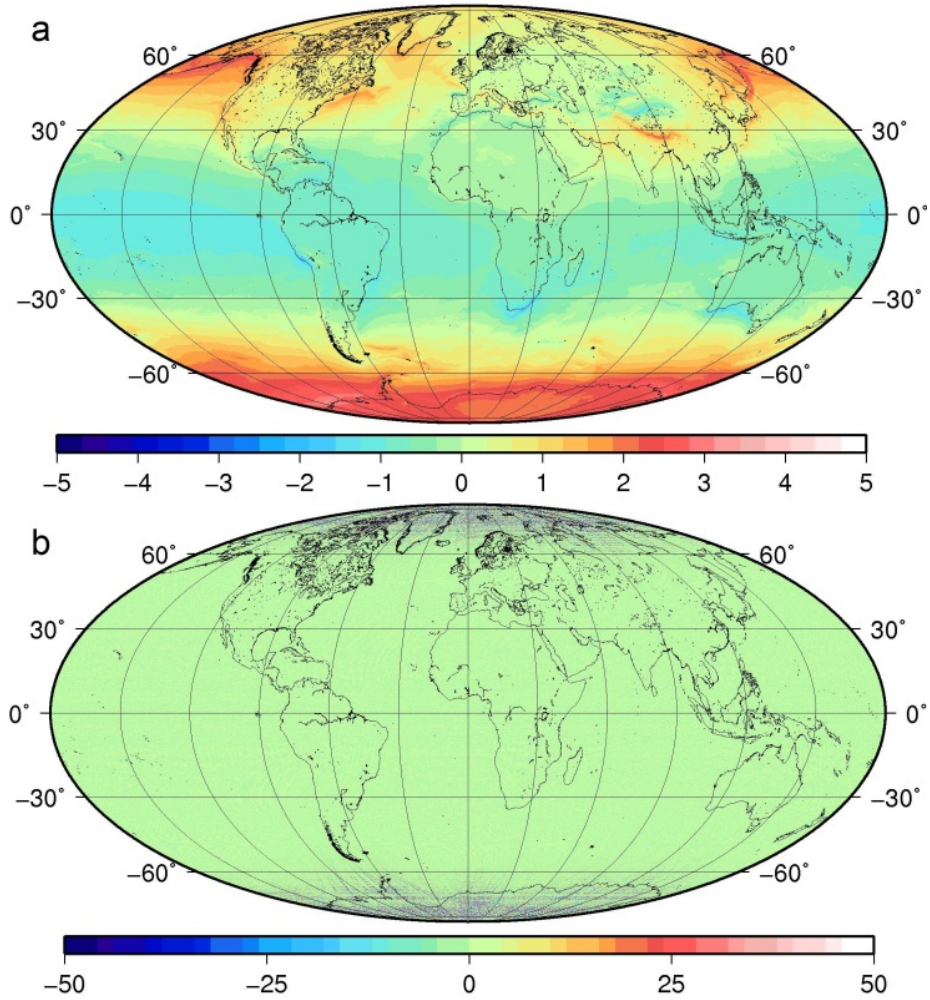
439 Fig. 5c compares the degree variances of the two ETP methods, and those of their coefficient
440 differences. The degree variances of the coefficient differences (i.e., the difference spectrum) are
441 found to be 5 to 7 order of magnitudes smaller than the signal of the topographic potential itself.
442 This indicates a reasonable agreement among the methods over most of the spectrum. Importantly,
443 the spectra of the HC and EI-methods increasingly deviate from each other at high spatial degrees,
444 as is indicated by the difference spectrum. At degree 2160, the difference spectrum is less than one
445 order of magnitude below the signal curve, which points at a significant discrepancy among the two
446 methods very close to the maximum degree.

447

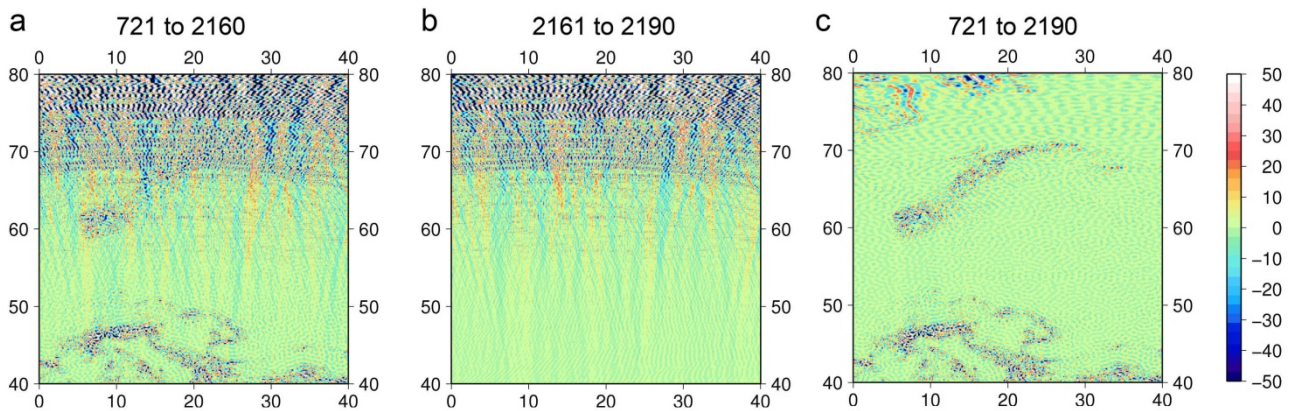
448 **3.5 Comparisons in the space domain**

449 In order to further investigate the discrepancies among the two methods, radial derivatives of the
450 topographic potential (also known as gravity disturbances, short: gravity) were calculated at the
451 surface of the GRS80 ellipsoid (HC and EI methods), and at the surface of the sphere with radius R
452 (from the topographic potential model in spherical approximation). From Fig. 6a, ellipsoidal
453 topographic gravity from the HC-method and gravity in spherical approximation are in close
454 agreement, with the differences (RMS 1 mGal, maximum difference 4.7 mGal) likely reflecting the
455 effect of different mass arrangement in the two approximations. Differences in gravity from the two
456 methods exhibit large latitude-dependent discrepancies that increase towards the poles (Fig. 6b) to
457 magnitudes as large as ~150 mGal. These discrepancies are caused by the lack of coefficients
458 beyond degree and order 2160 in the EI-method, as exemplified in the next paragraph. We note that
459 the ETP from the HC-method was evaluated in our tests to degree 2190, and not to degree 2160
460 (e.g. Fig. 6a).

461

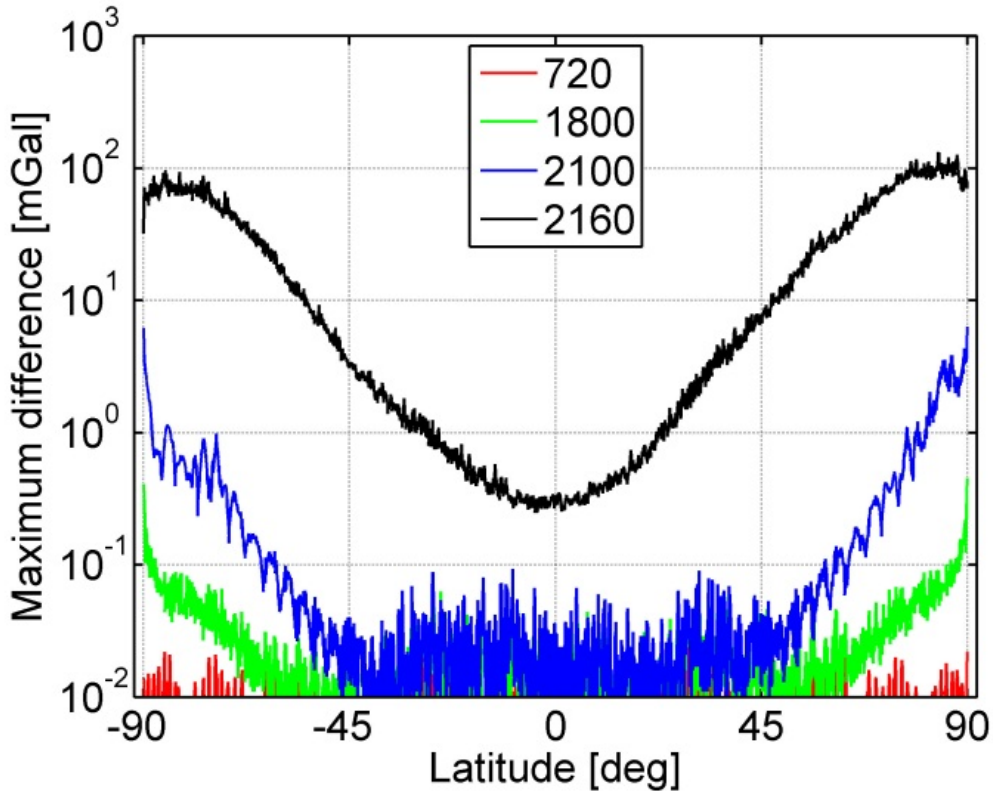


462
 463 **Figure 6.** Comparison among the methods in the spatial domain, (a) Ellipsoidal effect: differences
 464 among gravity disturbances from HC-method in ellipsoidal approximation (band 0 to 2190) and in
 465 spherical approximation (band 0 to 2160), min/max/mean/rms = -2.9/4.7/0.6/1.2 mGal, (b)
 466 Differences among gravity disturbances from the HC- method (band 0 to 2190) and the EI-method
 467 (band 0 to 2160), min/max/mean/rms = -180/193/0/17 mGal.
 468



469
 470 **Figure 7.** Gravity disturbances from the transformation method over Europe in spectral band 721 to
 471 2160 (a), band 2161 to 2190 (b) and band 721 to 2190 (c), units in mGal.

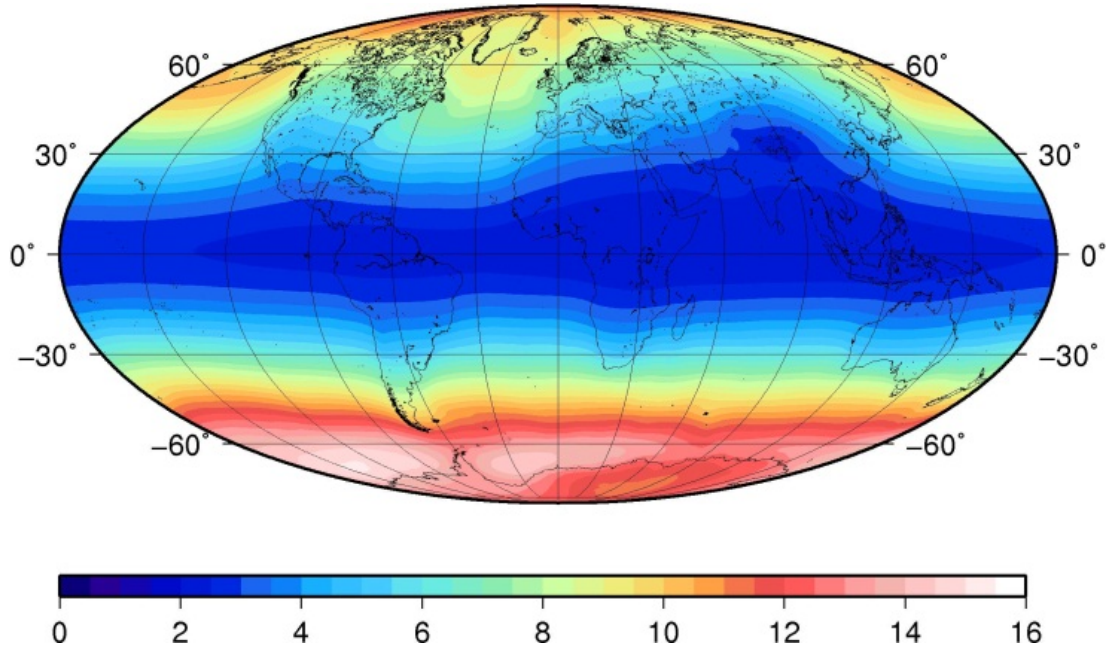
472 Fig. 7 shows the importance of taking into account the SHCs beyond degree 2160 for the accurate
 473 evaluation of ETP at high degrees. Restricting the evaluation to degree 2160 produces latitude-
 474 dependent patterns in high latitudes, which increase towards the poles and reach ~ 100 mGal
 475 amplitudes (Fig. 7a). Similar effects were reported by *Holmes and Pavlis* [2007] for a predecessor
 476 model of EGM2008 if truncated to degree 2160. Evaluation of the SHCs beyond harmonic degree
 477 2160 produces almost identical patterns, however, with opposite sign (Fig. 7b), which is why
 478 evaluation to degree 2190 is free of any latitude-dependent patterns Fig. 7c).
 479



480
 481 **Figure 8.** Maximum differences between gravity disturbances from the EI- and HC-methods along
 482 parallels for four different spectral bands (0 to 720, 0 to 1800, 0 to 2100 and 0 to 2160), units in
 483 mGal.
 484

485 These comparisons provide evidence that (i) the EI and HC-methods are not rigorously compatible,
 486 and – from Fig. 6 and 7 – (ii) the latitude-dependent errors are unambiguously attributable to the EI-
 487 method. We finally attempted to narrow the discrepancies among the HC and EI-methods, by
 488 evaluating gravity disturbances from both methods in spectral bands of harmonic degrees 0 to 720,
 489 0 to 1800, 0 to 2100 and 0 to 2160, and analysing their differences along latitude bands (similar to
 490 *Holmes and Pavlis* [2007]). Fig. 8 shows the maximum difference as a function of the latitude, and
 491 spectral bands. The agreement among gravity from both approaches is better than 0.1 mGal
 492 (expanded to degree 720), and better than 0.5 mGal (to degree 1800) anywhere on Earth (cf. Fig. 8),

493 which is satisfactory. However, the maximum discrepancies increase to ~5 mGal (when evaluating
494 to degree 2100) and deteriorate to ~150 mGal (degree 2160). Together with Fig. 6, this shows that
495 the ‘problems’ with the EI-method chiefly reside in the high degrees and high latitudes, while the
496 HC-method is free of those effects (see Fig. 6a and Fig. 7).
497

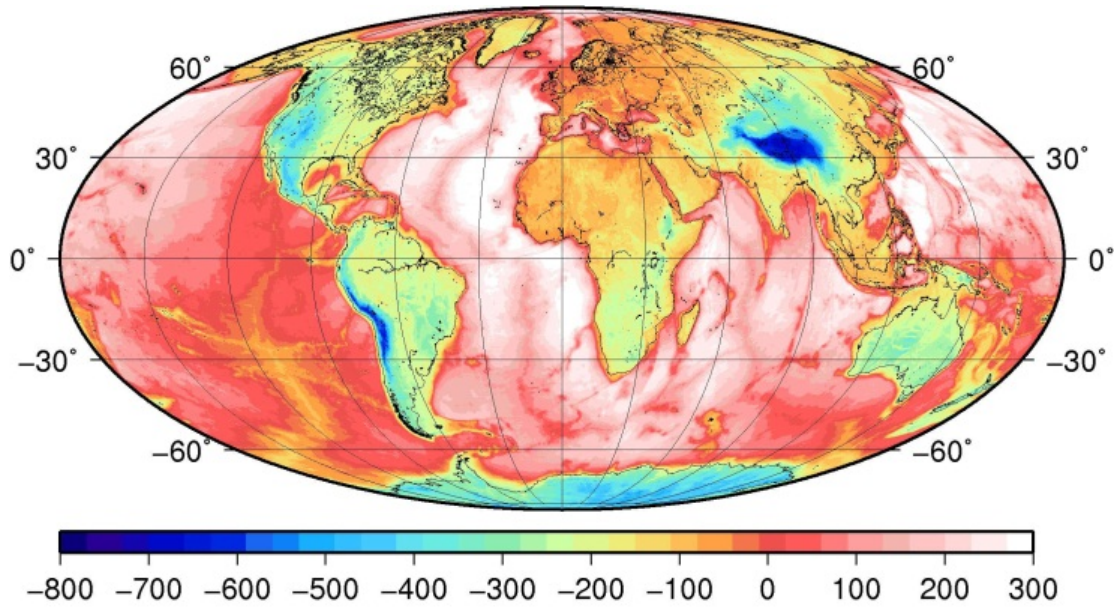


498
499 **Figure 9.** Ellipsoidal effect: differences among height anomalies from HC-method in ellipsoidal
500 approximation (band 0 to 2190) and in spherical approximation (band 0 to 2160), units in m.
501

502 The differences in terms of height anomalies between the topographic potential in spherical
503 approximation and the ellipsoidal topographic potential (using the HC-method) are shown in Fig. 9.
504 These differences reach a magnitude of ~15 m, and are predominantly of a long-wavelength nature.
505

506 **4 Application examples**

507
508 We applied the HC-method (Sect 2.4) along with the RET2012 topography model (Sect. 3.1) for
509 computation of the first degree-2190 EGM2008 Bouguer gravity map in fully-ellipsoidal
510 approximation. We computed gravity disturbances from (i) EGM2008 and (ii) RET2012/HC in full
511 resolution, i.e., from degree 2 to 2190 at the Earth’s surface in terms of 5 arc-min resolution grids.
512 This was accomplished by calculating gravity disturbances and their first five radial derivatives
513 from both models at a reference height of 4000 m above the GRS80 reference ellipsoid, and
514 continuation of gravity disturbances from the reference height to the Earth’s surface using Taylor
515 expansions as described in *Hirt* [2012] for EGM2008 and *Hirt and Kuhn* [2012] for the topographic
516 potential.



518

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Figure 10. EGM2008 Bouguer gravity disturbances at the Earth's surface in fully-ellipsoidal approximation in spectral band 0 to 2190, topographic gravity disturbances from the HC-method, min/max/mean/rms = -964/455/-34/201 mGal.

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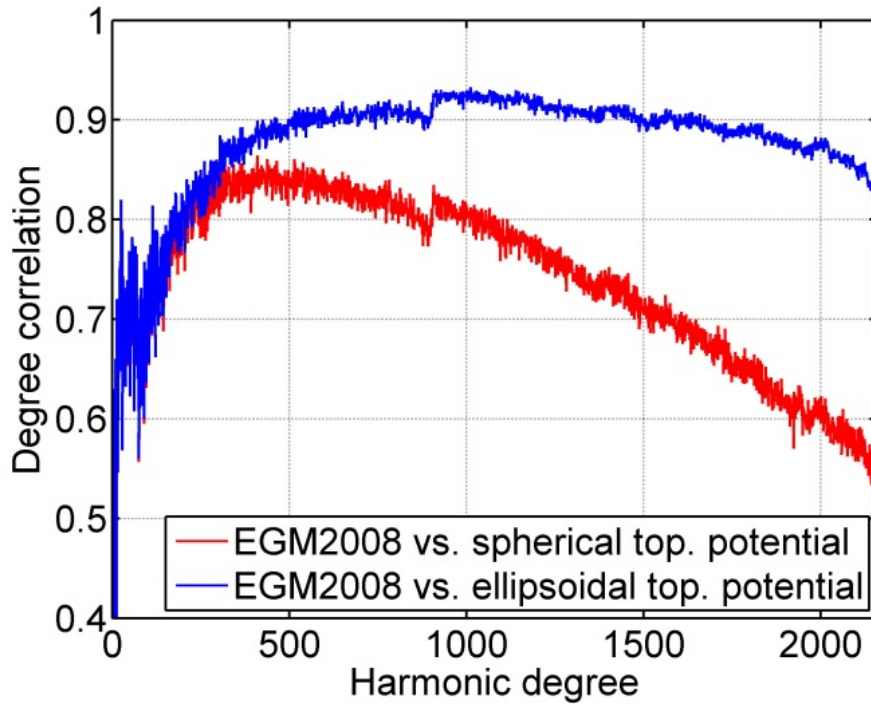
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The Earth's surface was represented by the Earth2012 surface model (<http://geodesy.curtin.edu.au/research/models/Earth2012/>, file Earth2012.topo_air.SHCto2160.dat). EGM2008 Bouguer gravity disturbances, obtained as difference between EGM2008 and RET2012-implied gravity effects in ellipsoidal approximation, are shown in Fig. 10. The map conceptually improves on the previously published map by *Balmino et al.* [2012], which is based on a mixture of approximation levels (topography-implied gravity effects in spherical approximation with only low-degree ellipsoidal corrections, combined with EGM2008 in full ellipsoidal approximation). From Fig. 6a, the ellipsoidal effect (i.e., differences among spherical and ellipsoidal approximation) on the topography-implied gravity is at the mGal-level, so comparatively small, but non-negligible for accurate applications.

As a second application example, we computed degree correlation coefficients among EGM2008, and the RET2012 topographic potential model in ellipsoidal (using the HC-approach) and spherical approximation (Fig. 11). The correlation among EGM2008 and the topographic potential in spherical approximation increases at low and medium degrees, reaches a maximum of about +0.85 around degree 500 before decreasing to +0.6 at degree 2000. However, a more realistic picture of the EGM2008 quality is obtained from the ellipsoidal topographic potential, with correlation coefficients found to be as large as +0.92 around degree 1000, and +0.87 at degree 2000. To our knowledge, this high correlation between geopotential and topographic potential coefficients has

542 not been observed before. It is obvious that topographic potential SHCs in spherical approximation
543 considerably underestimate the correlation, indicating poorer model quality at shorter spatial scales,
544 which makes them of little use for evaluation of high-degree geopotential models such as EGM2008
545 which are developed in ellipsoidal approximation.
546



547
548 **Figure 11.** Degree correlation coefficients among SHCs of EGM2008 and of the implied
549 topographic potential in spherical and ellipsoidal approximation (HC-method).
550

551 **5 Discussion, conclusions and recommendations**

552
553 The effect of the spherical approximation in forward gravity modelling has been shown to be
554 significant in both the spatial and the spectral domain, especially affecting the power of high-degree
555 topographic potential SHCs. It is therefore most crucial for quantities with substantial power in the
556 higher degrees, and for computation of global gravity models or any type of spectral analysis. The
557 two methods introduced here for modelling the ellipsoidal topographic potential, though distinctly
558 different in their approach, show good agreement across almost the entire spectrum. It can be
559 concluded that of the two methods, the harmonic combination method is superior, because i) it
560 provides faster convergence and hence requires less powers of the THFs, and more importantly ii) it
561 provides additional coefficients beyond degree 2160 that are vital for accurate evaluation of the
562 ETP.

563 The correlation between the ETP and EGM2008 coefficients was found to be much greater for the
564 ellipsoidal approximation than for the spherical approximation. Not only do the degree variance

565 spectra of the ETP and EGM2008 exhibit similar power from degree ~250 onwards, the degree
566 correlation coefficients are also much higher than for the spherical approximation. These numerical
567 results clearly show that the solution in ellipsoidal approximation delivers a significant
568 improvement over the spherical approximation. We recommend that the harmonic combination
569 method be used for spectral forward gravity modelling of any celestial object that can closely be
570 approximated by an oblate ellipsoid of revolution.

571

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573

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696

697 **Appendix A: Legendre weight functions**

698

699 The fully normalised sinusoidal Legendre weight functions $\bar{K}_{nm}^{2i,2j}$ in Eq. (25) can be computed via
700 various recursive schemes [*Claessens 2005*]

$$\bar{K}_{nm}^{2i,2j} = \sum_{k=-1}^1 \bar{K}_{nm}^{2i-2k,2j-2} \bar{K}_{n+2i-2k,m}^{2k,2} \quad (\text{A1})$$

$$\bar{K}_{nm}^{2i,2j} = \sum_{k=-1}^1 \bar{K}_{nm}^{2k,2} \bar{K}_{n+2k,m}^{2i-2k,2j-2} \quad (\text{A2})$$

$$\bar{K}_{nm}^{2i,2j} = \sum_{k=-1}^1 \bar{K}_{nm}^{2i+2k,2j-2} \bar{K}_{n+2i,m}^{2k,2} \quad (\text{A3})$$

701 where (A3) follows from (A1) and the relation

$$\bar{K}_{nm}^{2i,2j} = \bar{K}_{n+2i,m}^{-2i,2j} \quad (\text{A4})$$

702 Equations (A1) to (A3) can all be used to compute the function $\bar{K}_{nm}^{2i,2j}$ for any pair of i and j from
703 the initial values

$$\bar{K}_{nm}^{-2,2} = -\sqrt{\frac{(n^2 - m^2)[(n+1)^2 - m^2]}{(2n-3)(2n-1)^2(2n+1)}} \quad (\text{A5})$$

$$\bar{K}_{nm}^{0,2} = \frac{2(n^2 + m^2 + n - 1)}{(2n-1)(2n+3)} \quad (\text{A6})$$

$$\bar{K}_{nm}^{2,2} = -\sqrt{\frac{[(n+1)^2 - m^2][(n+2)^2 - m^2]}{(2n+1)(2n+3)^2(2n+5)}} \quad (\text{A7})$$

704 The initial values shown here only hold for the fully-normalised (4π -normalised) functions. Any
705 other form of normalisation will not affect the recursion relations, but will result in different initial
706 values, which can easily be derived. Details on the practical and numerical differences between the
707 various recursive schemes can be found in *Claessens [2005]*.